Convex Polyhedra for the Analysis and Verification of Hardware and Software Systems: the "Parma Polyhedra Library"

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# PLAN OF THE TALK

- ① The Problem
- ② An Example: Is x/(x-y) Well-Defined?
- ③ Formal Program Verification Methods
- ④ Abstract Interpretation
- 5 Convex Polyhedra and Beyond
- 6 Examples of Numerical Abstractions
- ⑦ An Example Analysis of Imperative Programs
- ⑧ Another Example: Validation of Array References
- In the Double Description Method by Motzkin et al.
- DD Pairs and Minimality
- Advantages of the Dual Description Method
- O The Parma Polyhedra Library
- OPPL Current and Coming Features
- Summary
- Projects On and With the PPL

# THE PROBLEM

- → Hardware is millions of times more powerful than it was 25 years ago;
- ➔ program sizes have exploded in similar proportions;
- → large and very large programs (up to tens of millions of lines of code) are and will be in widespread use;
- → they need to be designed, developed and maintained over their entire lifespan (up to 20 and more years) at reasonable costs;
- unassisted development and maintenance teams do not stand a chance to follow such an explosion in size and complexity;
- → many pieces of software exhibit a number of bugs that is sometimes hardly bearable even in office applications...

→ ... no safety critical application can tolerate this failure rate;

- → the problem of software reliability is one of the most important problems computer science has to face;
- → this justifies the growing interest in mechanical tools to help the programmer reasoning about programs.

# **AN EXAMPLE:** IS x/(x-y) Well-Defined?

#### Many things may go wrong

- $\rightarrow$  x and/or y may be uninitialized;
- → x-y may overflow;
- $\rightarrow$  x and y may be equal (or x-y may overflow): division by 0;
- $\rightarrow$  x/(x-y) may overflow (or underflow).

#### What can we do about it?

- ➔ full verification is undecidable;
- → code review: complex, expensive and with volatile results;
- → dynamic testing plus debugging: complex, expensive, does not scale (the cost of testing goes as the square of the program size), but it is repeatable;
- ➔ formal methods: complex and expensive but reusable, can be very thorough, repeatable, scale up to a certain program size then become unapplicable (we are working to extend that limit).

# FORMAL PROGRAM VERIFICATION METHODS

#### Purpose

- ➔ To mechanically prove that all possible program executions are correct in all specified execution environments...
- → … for some definition of correct:
  - ➔ absence of some kinds of run-time errors;
  - → adherence to some partial specification...

#### Several methods

- → deductive methods;
- ➔ model checking;
- → program typing;
- → static analysis.

#### Because of the undecidability of program verification

- → all methods are partial or incomplete;
- → all resort to some form of approximation.

# **ABSTRACT INTERPRETATION**

- → The right framework to work with the concept of sound approximation;
- ➔ a theory for approximating sets and set operations as considered in set (or category) theory, including inductive definitions;
- ➔ a theory of approximation of the behavior of dynamic discrete systems;
- Computation takes place on a domain of abstract properties: the abstract domain...
- ... using abstract operations which are sound approximations of the concrete operations.
- ➔ Correctness follows by design!
- The abstraction (approximation) can be coarse enough to be finitely computable, yet be precise enough to be practically useful.
- → Examples: casting out of nines and rule of signs.

# **CONVEX POLYHEDRA AND BEYOND**

# What?

→ regions of  $\mathbb{R}^n$  bounded by a finite set of hyperplanes.

### Restrictions, interesting for efficiency reasons:

- → bounding boxes;
- → systems of bounded differences;
- → octagons.

#### Generalizations and extensions, interesting for expressivity reasons:

- → not necessarily closed polyhedra (boxes, differences, octagons);
- → grids;
- → trapezoidal congruences;
- $\rightarrow$  intersections of the above ( $\mathbb{Z}$ -polyhedra);
- → sets of the above (sets of bounding boxes, sets of polyhedra, sets of grids, sets of Z-polyhedra, ...).

# WHY ARE THESE INTERESTING AND USEFUL?

### Solving classical data-flow analysis problems!

- → array bound checking;
- → compile-time overflow detection;
- → loop invariant computations and loop induction variables.

#### Verification of concurrent and reactive systems!

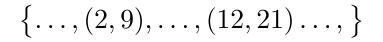
- → synchronous languages;
- → linear hybrid automata (roughly, FSMs with time requirements);
- → systems based on temporal specifications.

### And again: many other applications...

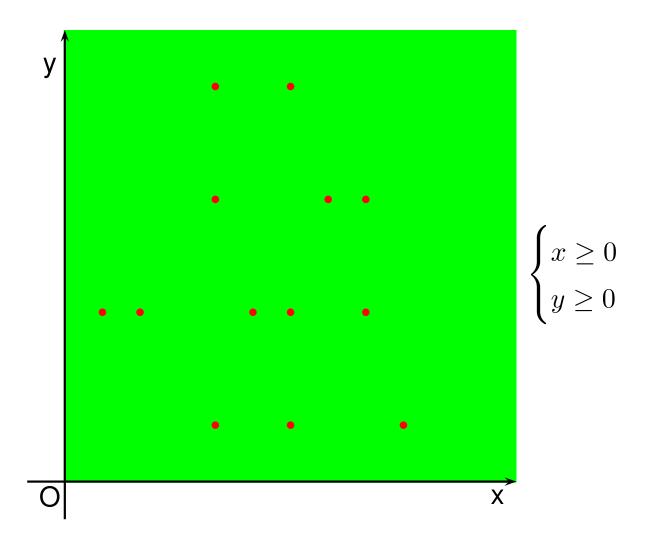
- ➔ inferring argument size relationships in logic programs;
- → termination inference for logic and functional programs.



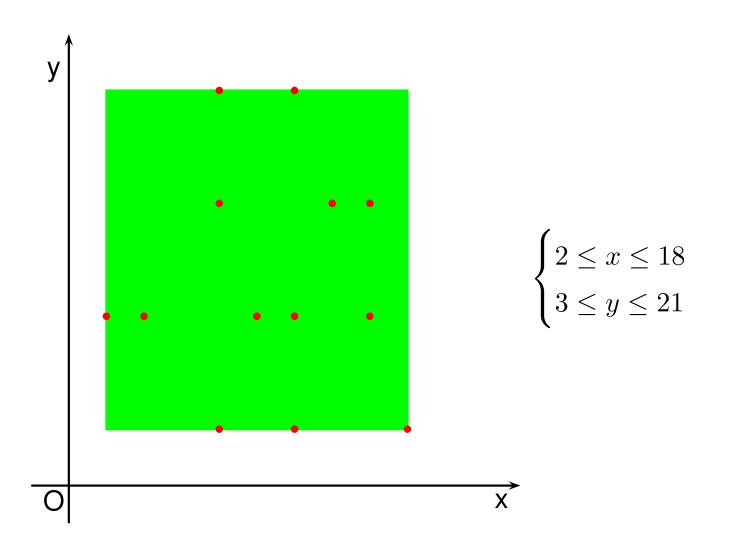
X



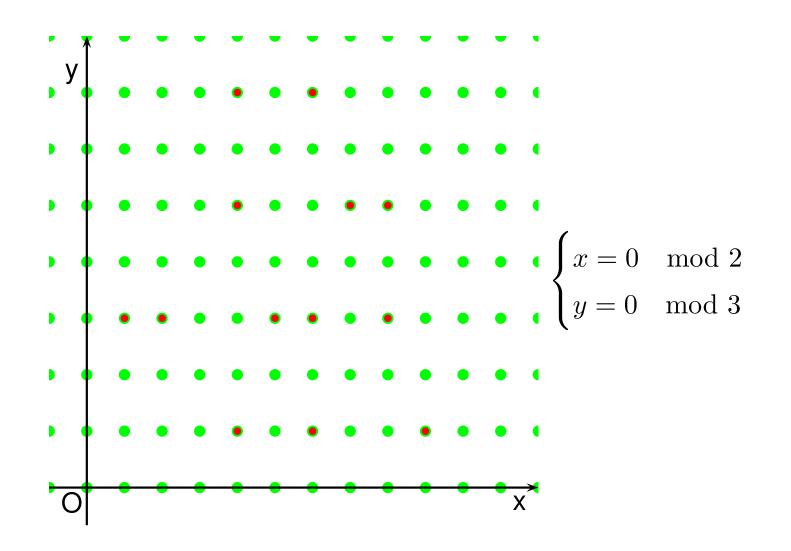
# NUMERICAL ABSTRACTIONS: SIGNS



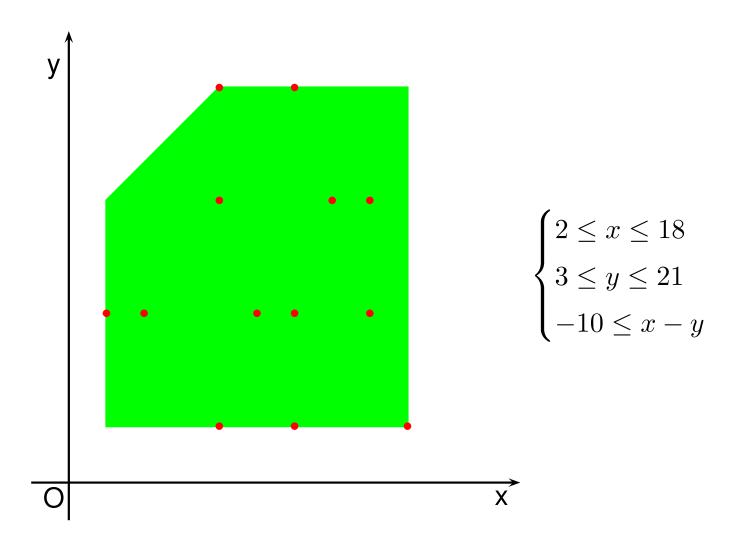
# NUMERICAL ABSTRACTIONS: BOUNDING BOXES

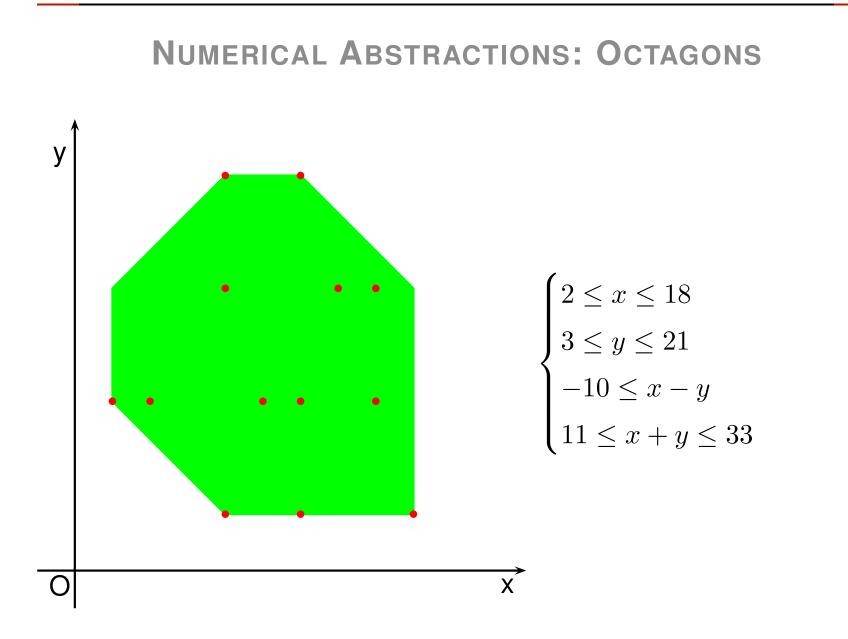


# NUMERICAL ABSTRACTIONS: SIMPLE CONGRUENCES



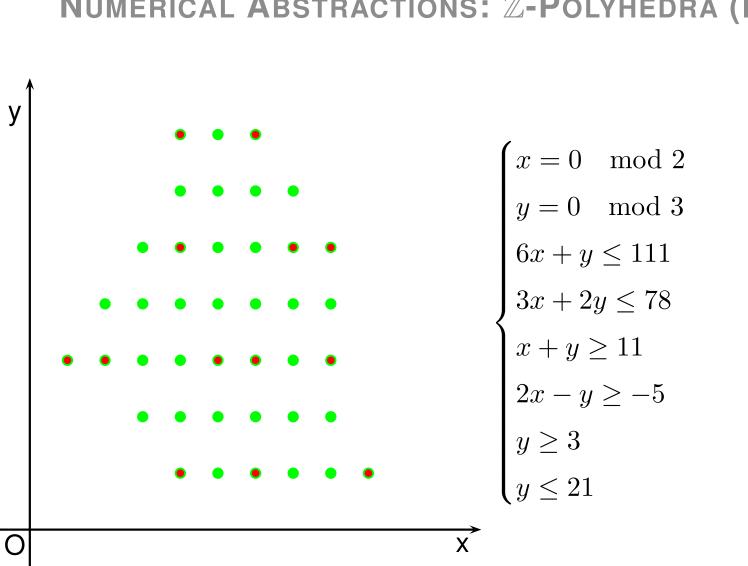
# NUMERICAL ABSTRACTIONS: BOUNDED DIFFERENCES





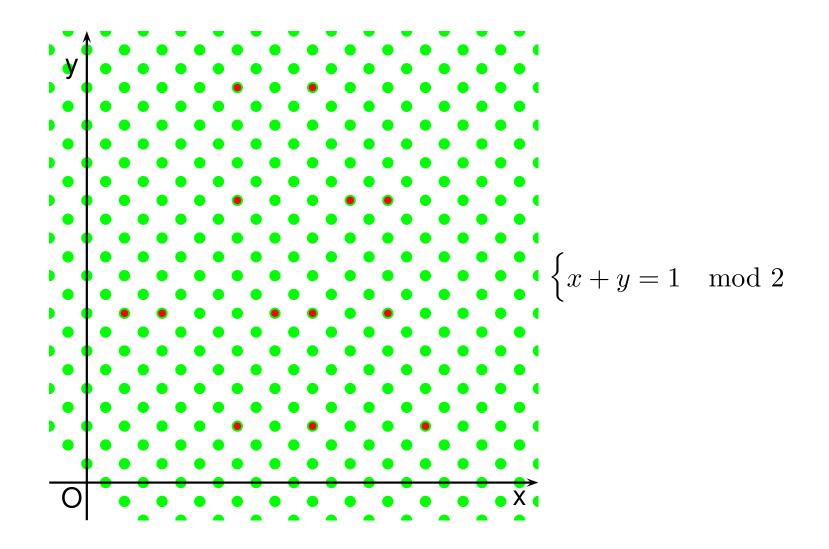
#### JUMERICAL ABSTRACTIONS: OCTAGONS

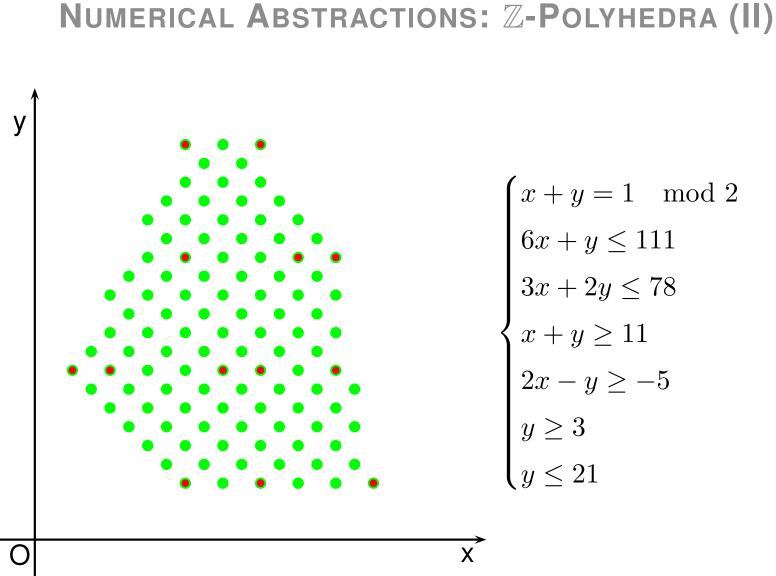
# NUMERICAL ABSTRACTIONS: CONVEX POLYHEDRA У $6x + y \le 111$ $3x + 2y \le 78$ $x + y \ge 11$ $2x - y \ge -5$ $y \ge 3$ $y \le 21$ X $\cap$



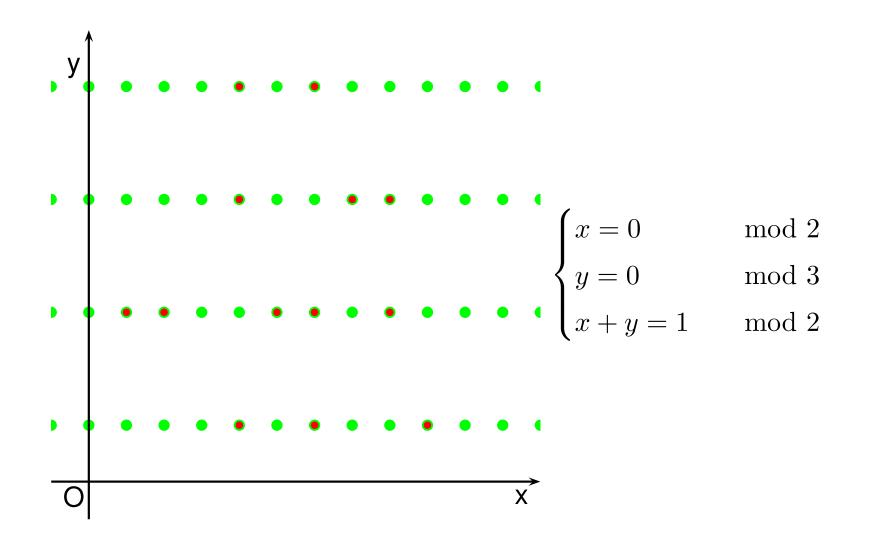
# **NUMERICAL ABSTRACTIONS:** Z-**POLYHEDRA (I)**

# NUMERICAL ABSTRACTIONS: RELATIONAL CONGRUENCES

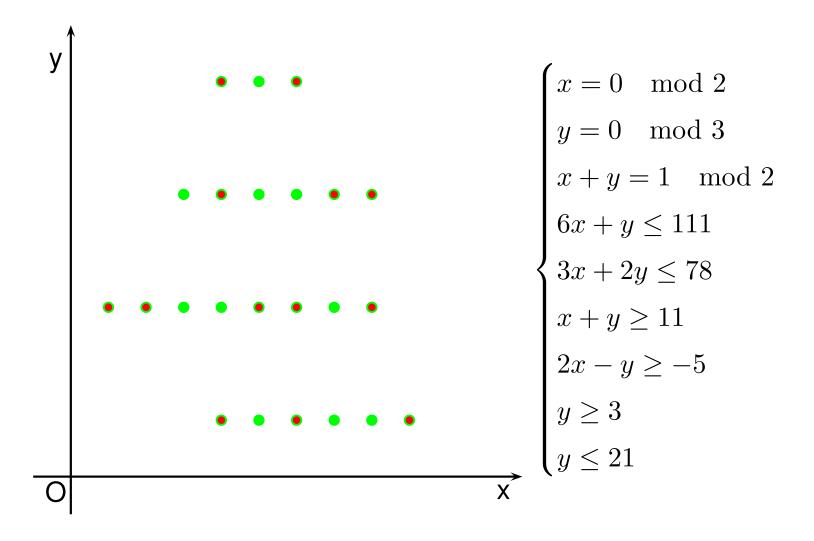




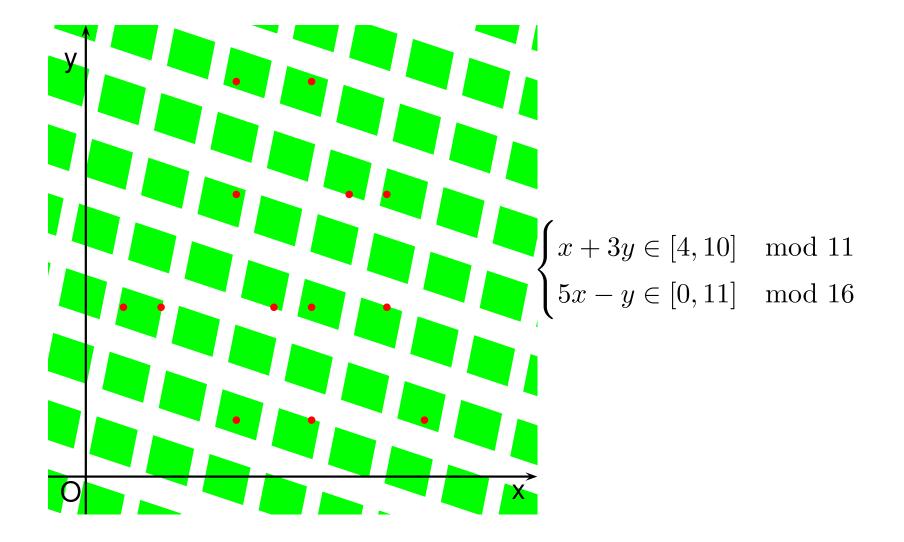
# NUMERICAL ABSTRACTIONS: RELATIONAL CONGRUENCES (II)



# **NUMERICAL ABSTRACTIONS:** Z-**POLYHEDRA (III)**



# NUMERICAL ABSTRACTIONS: TRAPEZOIDAL CONGRUENCES



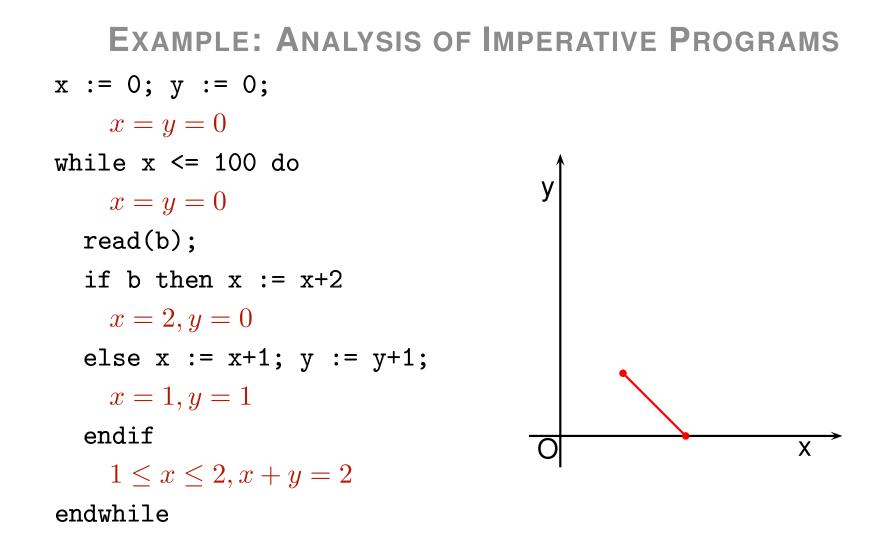
```
EXAMPLE: ANALYSIS OF IMPERATIVE PROGRAMS
x := 0; y := 0;
while x \le 100 do
 read(b);
  if b then x := x+2
 else x := x+1; y := y+1;
 endif
endwhile
```

```
EXAMPLE: ANALYSIS OF IMPERATIVE PROGRAMS
x := 0; y := 0;
   x = y = 0
while x \le 100 do
 read(b);
  if b then x := x+2
 else x := x+1; y := y+1;
 endif
endwhile
```

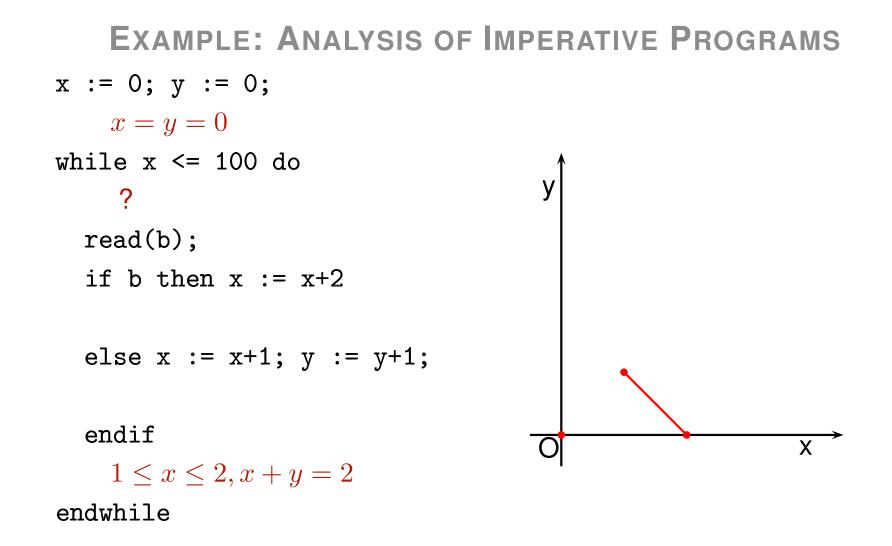
**EXAMPLE: ANALYSIS OF IMPERATIVE PROGRAMS** x := 0; y := 0;x = y = 0while  $x \le 100$  do x = y = 0read(b); if b then x := x+2else x := x+1; y := y+1; endif endwhile

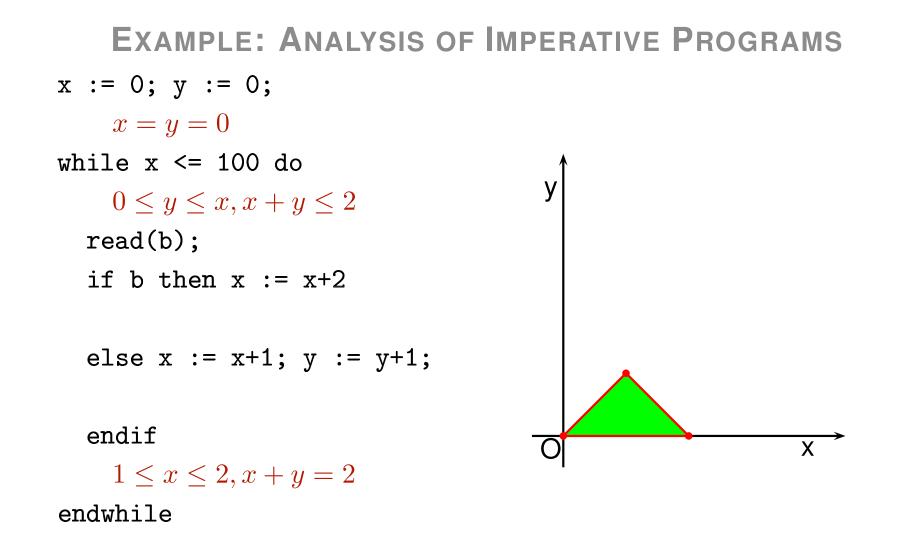
**EXAMPLE: ANALYSIS OF IMPERATIVE PROGRAMS** x := 0; y := 0;x = y = 0while  $x \le 100$  do x = y = 0read(b); if b then x := x+2x = 2, y = 0else x := x+1; y := y+1; x = 1, y = 1endif

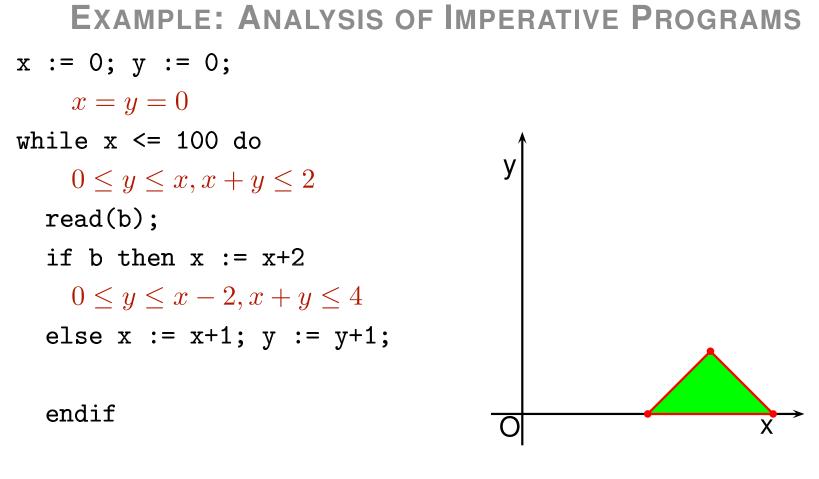
**EXAMPLE: ANALYSIS OF IMPERATIVE PROGRAMS** x := 0; y := 0;x = y = 0while  $x \le 100$  do У x = y = 0read(b); if b then x := x+2x = 2, y = 0else x := x+1; y := y+1; x = 1, y = 1endif X

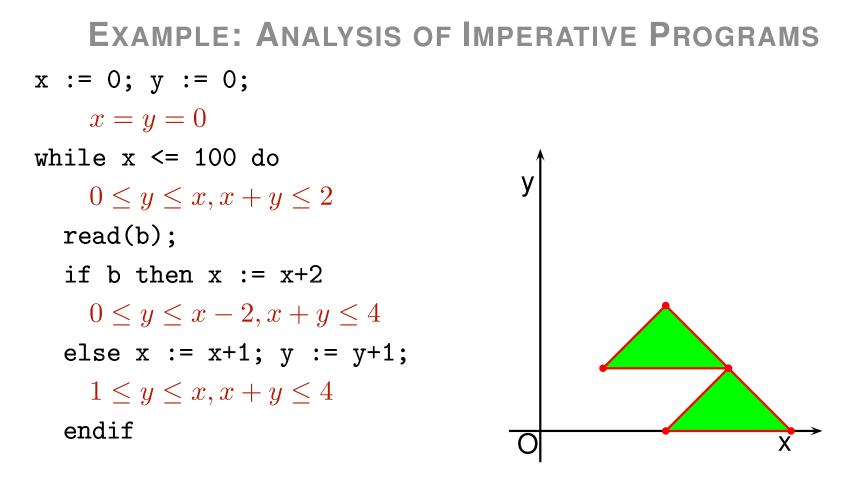


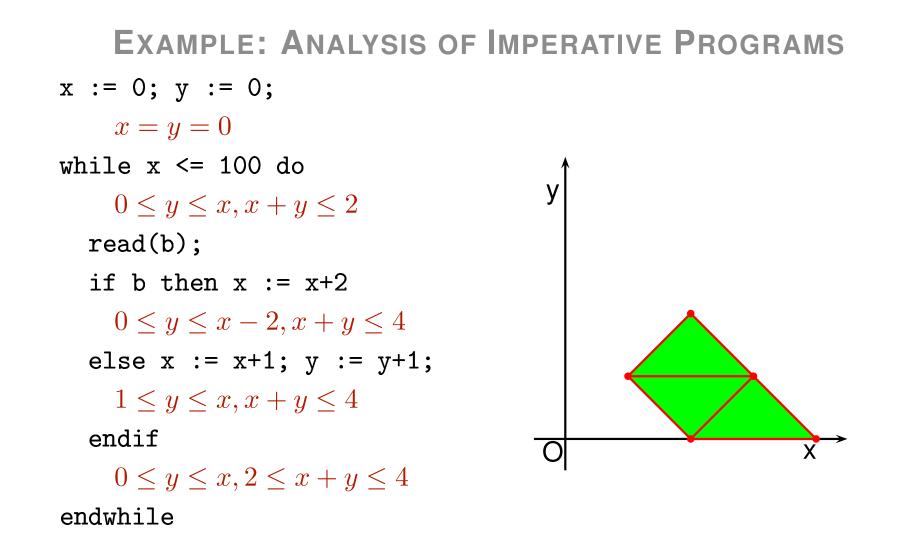
```
EXAMPLE: ANALYSIS OF IMPERATIVE PROGRAMS
x := 0; y := 0;
   x = y = 0
while x \le 100 do
    ?
  read(b);
  if b then x := x+2
  else x := x+1; y := y+1;
  endif
   1 \le x \le 2, x + y = 2
endwhile
```

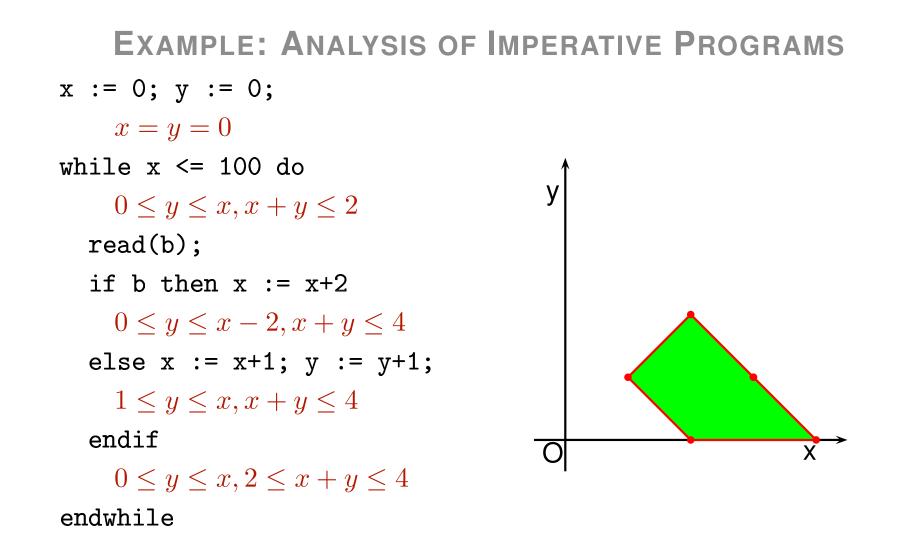


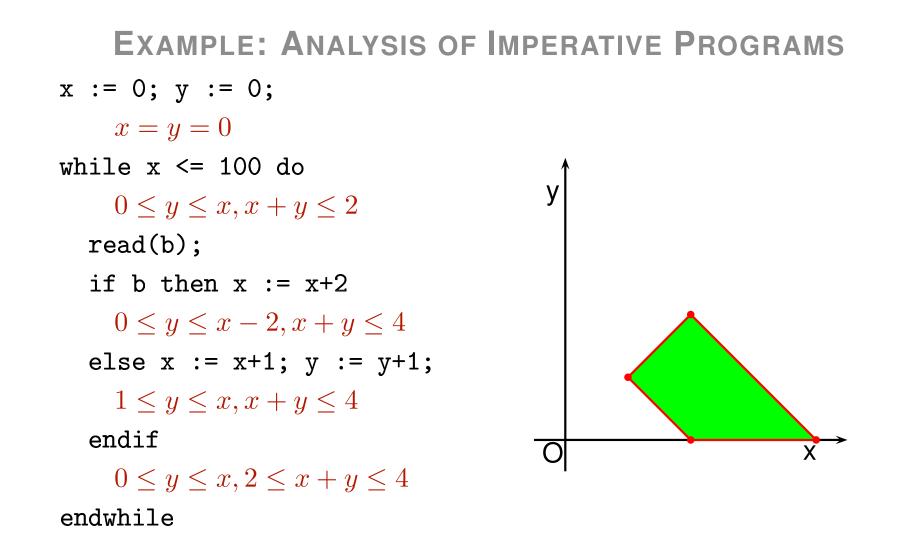












## **ANOTHER EXAMPLE: VALIDATION OF ARRAY REFERENCES**

```
heapsort(int n, float t[n]) n > 2
int 1 := (n \text{ div } 2) + 1; int r := n; int i, j; float k;
if 1 >= 2 then 1 := 1 - 1; k := t[1];
else k := t[r]; t[r] := t[1]; r := r - 1;
endif
while r \ge 2 do
   r > 2, 2l < n + 1, r + 3 < n, 2l + 2r + 1 < 3n, l > 1, r < n
  i := 1; j := 2 * i;
  while j <= r do
     r > 2, 2l < n + 1, r + 3 < 2n, l > 1, r < n, 2i = j, l < i,
     2i + 6l + r + 18 < 12n, j < r, 2l + 2r + 1 < 3n, 4i + 2l + 1 < 2r + 3n
   if j <= r - 1
       r > 2, 2l < n + 1, r + 3 < 2n, l > 1, r < n, 2i = j, l < i,
       2i + 6l + r + 18 \le 12n, j \le r - 1, 2l + 2r + 1 \le 3n, 4i + 2l + 1 \le 2r + 3n
      and t[j] < t[j+1] then j := j+1; endif
   if k >= t[j] then break; endif
     r + 3 \le 2n, l \ge 1, r \le n, j \le 2i + 1, 2i \le j, l \le i, j \le r, 2l + 2r + 1 \le 3n
   t[i] := t[j]; i := j; j := 2 * j;
  endwhile
   j + 2 \le 2i + r, 2j + 2l \le 4i + n + 1, r + 3 \le 2n, l \ge 1, r \le n,
   7j + 6l + r + 18 \le 12i + 12n, 2i \le j \le 2i + 1, l \le i, 2l + 2r + 1 \le 3n,
   8i + 2l + 1 \le 12i + 2r + 3n
  t[i] := k;
  if 1 >= 2 then 1 := 1 - 1; k := t[1];
  else k := t[r]; t[r] := t[1]; r := r - 1;
  endif
 t[1] := k;
endwhile
```

## THE DOUBLE DESCRIPTION METHOD BY MOTZKIN ET AL.

#### **Constraint Representation**

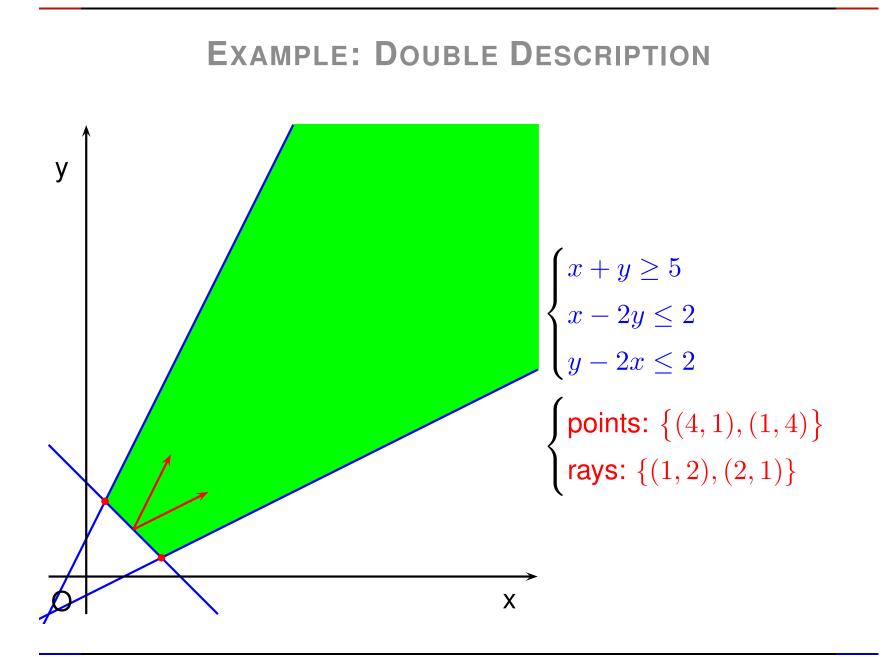
- → If  $a \in \mathbb{R}^n$ ,  $a \neq 0$ , and  $b \in \mathbb{R}$ , the linear inequality constraint  $\langle a, x \rangle \geq b$  defines a closed affine half-space.
- → All closed polyhedra can be expressed as the conjunction of a finite number of such constraints.

#### Generator representation

- → If  $\mathcal{P} \subseteq \mathbb{R}^n$ , a *point of*  $\mathcal{P}$  is any  $p \in \mathcal{P}$ .
- → If  $\mathcal{P} \subseteq \mathbb{R}^n$  and  $\mathcal{P} \neq \emptyset$ , a vector  $r \in \mathbb{R}^n$  such that  $r \neq 0$  is a *ray of*  $\mathcal{P}$  iff for each point  $p \in \mathcal{P}$  and each  $\lambda \in \mathbb{R}_+$ , we have  $p + \lambda r \in \mathcal{P}$ .
- → All closed polyhedra can be expressed as

 $\left\{ R\boldsymbol{\rho} + P\boldsymbol{\pi} \in \mathbb{R}^n \mid \boldsymbol{\rho} \in \mathbb{R}^r_+, \boldsymbol{\pi} \in \mathbb{R}^p_+, \sum_{i=1}^p \pi_i = 1 \right\}$ 

where  $R \in \mathbb{R}^{n \times r}$  is a matrix having rays of the polyhedron as columns and  $P \in \mathbb{R}^{n \times p}$  has points of the polyhedron for its columns.



## THE DOUBLE DESCRIPTION METHOD (CONT'D)

### Constraint representation

- → Special case: n = 0 and  $\mathcal{P} = \emptyset$ .
- → The equality constraint  $\langle a, x \rangle = b$  defines an affine hyperplane...
  - → ... that is equivalent to the pair  $\langle a, x \rangle \ge b$  and  $\langle -a, x \rangle \ge -b$ .
- → If C is a finite set of constraints we call it a system of constraints and write con(C) to denote the polyhedron it describes.

#### Generator representation

- → Note:  $P = \emptyset$  if and only if  $\mathcal{P} = \emptyset$ .
- Note: points are not necessarily vertices and rays are not necessarily extreme.
- → We call  $\mathcal{G} = (R, P)$  a system of generators and write gen( $\mathcal{G}$ ) to denote the polyhedron it describes.

## **DD PAIRS AND MINIMALITY**

Representing a polyhedron both ways

→ Let P ⊆ ℝ<sup>n</sup>. If con(C) = gen(G) = P, then (C, G) is said to be a DD pair for P.

### Minimality of the representations

- → C is in minimal form if there does not exist  $C' \subset C$  such that con(C') = P;
- →  $\mathcal{G} = (R, P)$  is in minimal form if there does not exist  $\mathcal{G}' = (R', P') \neq \mathcal{G}$ such that  $R' \subseteq R$ ,  $P' \subseteq P$  and  $gen(\mathcal{G}') = \mathcal{P}$ ;
- → the DD pair (C, G) is in minimal form if C and G are both in minimal form.

But, wait a minute...

... why keeping two representations for the same object?

## **A**DVANTAGES OF THE **D**UAL **D**ESCRIPTION **M**ETHOD

#### Some operations are more efficiently performed on constraints

- → Intersection is implemented as the union of constraint systems.
- → Adding constraints (of course).
- → Relation polyhedron-generator (subsumes or not).

### Some operations are more efficiently performed on generators

- → Convex polyhedral hull (poly-hull): union of generator systems.
- → Adding generators (of course).
- ➔ Projection (i.e., removing dimensions).
- → Relation polyhedron-constraint (disjoint, intersects, includes ...).
- → Finiteness (boundedness) check.
- → Time-elapse.

### Some operations are more efficiently performed with both

- ➔ Inclusion and equality tests.
- → Widening.

## FURTHER ADVANTAGES OF THE DUAL DESCRIPTION METHOD

### The principle of duality

- Systems of constraints and generators enjoy a quite strong and useful duality property.
- → Very roughly speaking:
  - → the constraints of a polyhedron are (almost) the generators of the polar of the polyhedron;
  - → the generators of a polyhedron are (almost) the constraints of the polar of the polyhedron;
  - → the polar of the polar of a polyhedron is the polyhedron itself.
- ⇒ Computing constraints from generators is the same problem as computing generators from constraints.

### The algorithm of Motzkin-Chernikova-Le Verge

- ➔ Solves both problems yielding a minimized system...
- → ... and can be implemented so that the source system is also minimized in the process.

# THE PARMA POLYHEDRA LIBRARY

- → A collaborative project started in January 2001 at the Department of Mathematics of the University of Parma.
  - → The University of Leeds (UK) is now a major contributor to the library.
- → It aims at becoming a truly professional library for the handling (not necessarily closed) rational convex polyhedra. We are almost there.
- → Targeted at abstract interpretation and computer-aided verification.
- → Free software released under the GNU General Public License.

### Why yet another library? Some limitations of existing ones:

- → data-structures employed cannot grow/shrink dynamically;
- → possibility of overflow, underflow and rounding errors;
- → unsuitable mechanisms for error detection, handling and recovery;
  - (cannot reliably resume computation with an alternative method, e.g., by reverting to an interval-based approximation).
- → Several existing libraries are free, but they do not provide adequate documentation for the interfaces and the code.

# **PPL FEATURES**

### Portability across different computing platforms

- → written in standard C++;
- → but the the client application needs not be written in C++.

### Absence of arbitrary limits

- ➔ arbitrary precision integer arithmetic for coefficients and coordinates;
- → all data structures can expand automatically (in amortized constant time) to any dimension allowed by the available virtual memory.

### Complete information hiding

- → the internal representation of constraints, generators and systems thereof need not concern the client application;
- ➔ implementation devices such as the *positivity constraint* are invisible from outside;
- → all the matters regarding the  $\epsilon$ -representation encoding of NNC polyhedra are also invisible from outside.

## **PPL FEATURES: HIDING PAYS**

### Expressivity

- → 'X + 2\*Y + 5 >= 7\*Z' and 'ray(3\*X + Y)' is valid syntax both for the C++ and the Prolog interfaces;
- → we expect the planned Objective Caml, Java and Mercury interfaces to be as friendly as these;
- → even the C interface refers to concepts like linear expression, constraint and constraint system
  - → (not to their possible implementations such as vectors and matrices).

### Failure avoidance and detection

- → illegal objects cannot be created easily;
- → the interface invariants are systematically checked.

### Efficiency

→ can systematically apply incremental and lazy computation techniques.

## **PPL FEATURES: LAZINESS AND INCREMENTALITY**

### **Dual description**

- → we may have a constraint system, a generator system, or both;
- ➔ in case only one is available, the other is recomputed only when it is convenient to do so.

### **Minimization**

- → the constraint (generator) system may or may not be minimized;
- ➔ it is minimized only when convenient.

### Saturation matrices

→ when both constraints and generators are available, some computations record here the relation between them for future use.

### Sorting matrices

➔ for certain operations, it is advantageous to sort (lazily and incrementally) the matrices representing constraints and generators.

### **PPL FEATURES: SUPPORT FOR ROBUSTNESS**

```
void complex_function(PH& ph1, const PH& ph2 ...) {
 try {
    start_timer(max_time_for_complex_function);
    complex_function_on_polyhedra(ph1, ph2 ...);
    stop_timer();
  }
  catch (Exception& e) { // Out of memory or timeout...
    BoundingBox bb1, bb2;
    ph1.shrink_bounding_box(bb1);
    ph2.shrink_bounding_box(bb2);
    complex_function_on_bounding_boxes(bb1, bb2 ...);
    ph1 = Polyhedron(bb1);
}
```

## L FEATURES: COMPLETE, NATURAL SUPPORT FOR NNC POLYHEDRA

- → If  $a \in \mathbb{R}^n$ ,  $a \neq 0$ , and  $b \in \mathbb{R}$ , the linear strict inequality constraint  $\langle a, x \rangle > b$  defines an open affine half-space;
- → when strict inequalities are allowed in the system of constraints we have polyhedra that are not necessarily closed: NNC polyhedra.
- ➔ A fundamental feature of the DD method: the ability to represent polyhedra both by constraints and generators.
- → But what are the generators for NNC polyhedra?
- ➔ Previous works/implementations did not offer a satisfactory answer.
- ➔ By decoupling the user interface from the details of the particular implementation, it is possible to provide an intuitive generalization of the concept of generator system.
- The key step is the introduction of a new kind of generators: closure points:
  - → a vector  $c \in \mathbb{R}^n$  is a *closure point* of  $S \subseteq \mathbb{R}^n$  if and only if  $c \in \mathbb{C}(S)$ .
- → Only the PPL provides, today, this level of support for NNC polyhedra.

# MORE PPL FEATURES

### Sophisticated widening techniques

- → The first widening operator on convex polyhedra beating the standard one (after 25 years of its introduction).
- Widening with tokens: an improvement over the delayed widening technique.

#### The finite powerset construction

- → A generic construction that upgrades an abstract domain by allowing for the exact representation of finite disjunctions of its elements.
- → The PPL offers a generic implementation that can be applied to polyhedra, bounding boxes, octagons, grids, ...
- Moreover, this comes with generic widening techniques (implementation in progress, paper to appear at VMCAI'04);
  - → when instantiated on finite powersets of polyhedra, these provide the first widening operators on that domain!

# **PPL COMING FEATURES**

### Support for special classes of polyhedra

- → A first implementation of bounded differences and octagons is ready;
- → a second, more refined implementation will be ready by Q1 2004.
- → Partial implementations of intervals and bounding boxes exist: they are waiting for someone to finish them.
- → Distinctive features are (beyond the ones already mentioned for the entire library) the tight and smooth integration of all the polyhedra classes and refined widening operators.

### Grids and $\mathbb{Z}$ -Polyhedra

- → A new domain of grids is under development; including support for
  - → rational as well as integer values,
  - ➔ directions where values will be unrestrained.
- → A Z-Polyhedron, which is the intersection of a polyhedron and a grid, will be added once we have the grid domain in the PPL.

### NOT THE CONCLUSION

- → Convex polyhedra are the basis for several abstractions used in static analysis and computer-aided verification of complex and sometimes mission critical systems.
- ➔ For that purposes an implementation of convex polyhedra must be firmly based on a clear theoretical framework and written in accordance with sound software engineering principles.
- ➔ In this talk we have presented some of the most important ideas that are behind the Parma Polyhedra Library.
- → The Parma Polyhedra Library is free software released under the GPL: code and documentation can be downloaded and its development can be followed at http://www.cs.unipr.it/ppl/.

# DON'T ASK WHAT THE PPL CAN DO FOR YOU; ASK WHAT YOU CAN DO FOR THE PPL (I)

### Research work to improve the PPL

- → efficient implementation of polyhedra operations;
- → positive polyhedra;
- → normal forms;
- → widening and narrowing ...

### Research work using the PPL

- → absence of buffer overflows for C and C++ programs;
- → analysis of (machine- and hand-generated) assembly programs;
- ➔ argument size relations for functional and logic programs;
- → optimization of array checks in Java programs;
- → verification of communication and synchronization protocols;
- → verification of linear hybrid systems ...

# DON'T ASK WHAT THE PPL CAN DO FOR YOU; ASK WHAT YOU CAN DO FOR THE PPL (II)

### Small/medium projects

- → internationalization of the library using gettext;
- → better regression testing with dejagnu;
- → better STL iterators to go through constraint and generator systems;
- more efficient construction of linear expressions, constraints and generators using expression templates;
- ➔ efficient serialization of the various numerical abstractions;
- → implementation of the extrapolation operators of Henzinger et al.;
- efficient implementation of convexity recognition of the union of polyhedra (algorithms of Bemporad et al.);
- → implementation of a "robust polyhedron" class;
- → complete the implementation of the watchdog library;
- → implementation of cartesian factoring (Halbwachs et al.) ...

# DON'T ASK WHAT THE PPL CAN DO FOR YOU; ASK WHAT YOU CAN DO FOR THE PPL (III)

### Medium/big projects

- experiment with different implementations of unlimited precision integers (e.g., purenum);
- → complete the implementation of the interval library;
- → Java interface;
- → O'Caml interface;
- ➔ Mercury interface;
- → web-based demo (full of bells and whistles);
- ➔ incorporate the library into various analysis tools;
- → implement some variant of the simplex algorithm;
- → implement cutting-plane methods (Gomory, Chvátal, ...);
- → complete the implementation of the Ask-and-Tell construction;

→ ...